

APPENDIX X – 5

COMPLIANCE WITH THE BUDGET DEVELOPMENT PROCESS

The following actions and benchmarks were included in the amended budget development process timeline filed with the Court on April 26, 2017 [ECF 2013-1]. The chart below includes an additional “status” column describing the District’s actions relating to each benchmark.

Date(s)	Action	Status
Oct – Dec 2016	The District shall initiate: assessments of 910G requirements for sites and departments; assessments of 910G capital needs; development of 2017-18 Magnet Site Plans.	<i>The District initiated the stated assessments and the development of 2017-18 Magnet Site Plans between October and December 2016.</i>
Nov 15, 2016	The District shall provide the plaintiffs, special master and budget expert with all District formulas used or required in the allocation of funds (with all changes from previous year noted)...	<i>On November 15, 2016, the District provided the plaintiffs, special master, and budget expert with the referenced information via email.</i>
Nov 29-30, 2016	The parties shall meet in Tucson to discuss the proposed USP budget...	<i>On November 29-30, 2016, the District hosted all parties for budget meetings.</i>
No later than Dec 8, 2016	Finalize the draft budget development process (including templates); submit to Special Master and Plaintiffs for final review and comment.	<i>On December 8, 2016, the District submitted the draft budget development process (including final templates).</i>
Dec 15, 2016	Plaintiffs and Special Master review draft development process and submit comments.	<i>The District communicated with the Special Master, plaintiffs, and the budget expert during this time.</i>
Dec 21, 2016	Submit final budget development process to Special Master and Plaintiffs, including final templates.	<i>On December 16, 2016, the District submitted the final budget development process.</i>
Jan 20, 2017	<i>The District filed the Budget Development Process Timeline [see ECF 1985]</i>	
DRAFT #1: NARRATIVE No later than Jan 20, 2017	District submits Draft #1 narratives using the final narrative template, including USP Budget Criteria information and Student Support Forms (for new or expanded programs) and information explaining the District’s approach to mentor–teacher ratios and CRC itinerant–CRC teacher ratios.	<i>On January 20, 2017, the District submitted the Draft #1 Narrative, including a checklist indicating that all required items had been submitted.</i>
Jan 23, 2017	Budget Expert in consultation with SM will confirm and note that the above information has been received by all. Lack of information provided will be noted.	<i>The District has no knowledge as to whether this occurred.</i>

Date(s)	Action	Status
No later than Jan 25, 2017	The District shall file with the Court a Notice of Disclosure and/or Compliance.	<i>On January 25, 2017, the District filed the required Notice [see ECF 1986].</i>
Feb 6, 2017	Plaintiffs and Special Master will review Draft #1 and submit comments.	<i>The District received plaintiff and Special Master comments during this time.</i>
No later than Feb 24, 2017	A meeting of the parties will be scheduled in Tucson between April 10-21 to review and discuss the proposed budget and other budget-related issues.	<i>The District worked with all parties to schedule this meeting by February 24, 2017.</i>
Feb 27, 2017	The District shall initiate and finalize the enrollment projections that will be used to develop 910G allocations and share the projections with the Special Master and Plaintiffs.	<i>On February 21, 2017, the District submitted the enrollment projections to the plaintiffs and Special Master.</i>
DRAFT #2 No later than Mar 13, 2017	The 2017-18 Proposed USP Budget Draft #2 will include multiple forms and items (list omitted).	<i>On March 13, 2017, the District submitted Draft #2, including a checklist indicating that all required forms and items had been submitted.</i>
Mar 14, 2017	Budget Expert in consultation with SM will confirm and note that the above information has been received by all. Completed and/or lack of information provided will be noted.	<i>The District has no knowledge as to whether this occurred.</i>
No later than Mar 16, 2017	The District shall file with the Court a Notice of Disclosure and/or Compliance (including, if applicable, an explanation of any failures to comply with the March 10, 2017 benchmark)	<i>On March 16, 2017, the District filed the required Notice [see ECF 2001].</i>
Mar 27, 2017	Plaintiffs and Special Master review and comment period. A phone conference with the parties may prove supportive of the process during this time.	<i>The District received plaintiff and Special Master comments during this time.</i>
Mar 2017	Budget Expert in consultation with SM will confirm and note all RFI's and Responses. Any continuing RFI's will be noted.	<i>The District has no knowledge as to whether this occurred.</i>
DRAFT #3 Apr 10, 2017	District submits Draft #3 using a format similar to Draft #2 (all changes between drafts emphasized).	<i>On April 10, 2017, the District submitted Draft #3, including a checklist indicating that all required items had been submitted.</i>
Apr 11, 2017	Budget Expert in consultation with SM will	<i>The District has no knowledge as to</i>

Date(s)	Action	Status
	confirm and note that the above information has been received by all. Completed and/or lack of information provided will be noted.	<i>whether this occurred.</i>
No later than Apr 13, 2017	The District shall file with the Court a Notice of Disclosure and/or Compliance (including, if applicable, an explanation of any failures to comply with the April 7, 2017 benchmark)	<i>On April 13, 2017, the District filed the required Notice [see ECF 2012].</i>
Apr 12-13, 2017	The parties shall meet in Tucson to discuss the proposed USP budget and other related issues.	<i>The Parties met on these dates to discuss the proposed budget and related issues.</i>
Apr 24, 2017	Plaintiffs and Special Master review and comment period limited to newly proposed allocations in Draft #3 ... A phone conference with the parties may prove supportive of the process during this time.	<i>The District received plaintiff and Special Master comments during this time.</i> <i>The District scheduled a phone conference on May 1, 2017. All parties participated by phone.</i>
Apr 26, 2017	<i>The District filed an amended Budget Development Process Timeline [see ECF 2013]</i>	
May 10, 2017	Special Master submits any suggestions for modification related to proposed allocations reflected in Draft #3 to the District.	<i>On May 10, 2017, the Special Master filed his Suggestions for Modifications ("Recommendations") [see ECF 2020]</i>
May 10, 2017	The District submits revised magnet and transition plans to the plaintiffs and the Special Master.	<i>On May 10, 2017, the District submitted revised magnet and transition plans to the plaintiffs and Special Master.</i>
May 24, 2017	The plaintiffs submit any and all "continuing objections" and any objections or comments they have on the Special Master's suggestions.	<i>On May 24, 2017, the District received "continuing objections" from the Mendoza Plaintiffs.</i> <i>The District received additional objections from the Fisher Plaintiffs on June 13, 2017.</i>
May 24, 2017	Plaintiffs and Special Master review and comment period limited to revised plans. The District may submit a proposal if more time is needed to revise these plans.	<i>On May 29, 2017, the District received the SM's comments on transition and magnet plans.</i>
May 2017	Budget Expert in consultation with SM will confirm and note all RFI's and Responses.	<i>The District has no knowledge as to whether this occurred.</i>
FINAL DRAFT	District presents Final Proposed Budget to the Special Master and Plaintiffs and presents	<i>On June 13, 2017, District staff presented the Final Proposed Budget</i>

Date(s)	Action	Status
June 13, 2017	Final Proposed Budget, Special Master suggestions for modification, and Plaintiffs' continuing objections to the Governing Board. The Final Proposed Budget will be in the approved format.	<p><i>(in the approved format), Special Master suggestions for modification, and Plaintiffs' continuing objections to the Governing Board as a Board Agenda Item.</i></p> <p><i>However, the Governing Board postponed the public presentation and discussion to June 16, 2017. On June 16, 2017, the Governing Board postponed the public presentation and discussion to June 27, 2017.</i></p>
June 13, 2017	The District provides a copy of the "Final Draft" 2017-18 Proposed USP Budget that will be considered by the Governing Board with any allocation revisions using the approved format. Any changes from Draft #3 and other previous drafts shall be noted in the same way as described in previous formats. If approved, the District will file the Final USP Budget on this date, if possible, or no later than June 28, 2017.	<p><i>On June 16, 2017 (the date of the proposed Governing Board presentation), the District provided a copy of the Final Draft and related materials to the SMP. However, due to size limits the email did not go through. The following week, the SM notified the District that he had not received the materials. On June 21, 2017, the District resent the materials in separate sections.</i></p>
No later than June 28, 2017	<p>District presents Final Proposed Budget to the Special Master and Plaintiffs and presents Final Proposed Budget, Special Master suggestions for modification, and Plaintiffs' continuing objections to the Governing Board. The Final Proposed Budget will be in the approved format.</p> <p>The District provides a copy of the "Final Draft" 2017-18 Proposed USP Budget that will be considered by the Governing Board with any allocation revisions using the approved format. Any changes from Draft #3 and other previous drafts shall be noted in the same way as described in previous formats. If approved, the District will file the Final USP Budget no later than June 28, 2017.</p>	<p><i>On June 27, 2017, the District completed the presentation and public discussion before approving the Final Proposed Draft.</i></p>